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A LOWER BOUND FOR THE $L_2[-1, 1]$ -NORM OF THE LOGARITHMIC DERIVATIVE OF POLYNOMIALS WITH ZEROS ON THE UNIT CIRCLE

Abstract. Let C be the unit circle $\{z : |z| = 1\}$ and $Q_n(z)$ be an arbitrary C -polynomial (i. e., all its zeros $z_1, \dots, z_n \in C$). We prove that the norm of the logarithmic derivative Q'_n/Q_n in the complex space $L_2[-1, 1]$ is greater than $1/8$.

Key words: *logarithmic derivative, C-polynomial, simplest fraction, norm, unit circle*

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1. The main result. The problem of whether the logarithmic derivatives of C -polynomials (see the Abstract), i. e., the rational functions of the form

$$\sum_{k=1}^n \frac{1}{z - z_k} \quad (|z_1| = \dots = |z_n| = 1),$$

are dense in the complex space $L_2[-1, 1]$, was raised by Nasyrov in 2014 during the talk of Borodin at the conference “Complex Analysis and its Applications” in Petrozavodsk (see [1, §4]). (Sums $\sum_1^n 1/(z - z_k)$ are also known as the *simplest fractions* or *simple partial fractions*.)

We find that the Nasyrov’s question has the negative answer, namely,

$$\left(\int_{-1}^1 \left| \sum_{k=1}^n \frac{1}{x - z_k} \right|^2 dx \right)^{1/2} > \frac{1}{8} \quad (1)$$

for any z_1, \dots, z_n on the unit circle.

The related result for the area integral was obtained by Newman [2]:

$$\iint_{|z| < 1} \left| \sum_{k=1}^n \frac{1}{z - z_k} \right| dx dy \geq \frac{\pi}{18} \quad (|z_1| = \dots = |z_n| = 1), \quad (2)$$

where $x + iy = z$. Using the techniques in [2], Chui and Shen [3, §5] derived the order of approximation by sums of the form $\sum_1^n 1/(z - z_k)$, $z_k \in C$, in the Bers spaces $A_q(D)$, $q > 2$ (D is the interior of C).

To prove (1), we use some ideas in [2], too.

2. Proof of (1). Let $|z_1| = \dots = |z_n| = 1$. Obviously,

$$\sum_{k=1}^n \frac{1}{z - z_k} = -\frac{1}{2z} \left(\sum_{k=1}^n \frac{z_k + z}{z_k - z} - n \right), \quad (3)$$

$$P(z_k; z) := \operatorname{Re} \frac{z_k + z}{z_k - z} = \frac{1 - |z|^2}{1 - 2\operatorname{Re}(\bar{z}z_k) + |z|^2} \geq 0, \quad |z| \leq 1,$$

and for any $h > 0$ and every $k = 1, \dots, n$ the set of points z for which $P(z_k; z) \geq h$, i. e.,

$$(h + 1)|z|^2 - 2h\operatorname{Re}(\bar{z}z_k) + h - 1 \leq 0,$$

is the disk $|z - z_k h/(h + 1)| \leq 1/(h + 1)$ in the closed unit disk. Put

$$c_n = 1 - 6(5n)^{-2}.$$

CASE 1: There is a pole $z^* \in \{z_1, \dots, z_n\}$ such that $|\operatorname{Re} z^*| \geq c_n$. We can assume that $c_n \leq \operatorname{Re} z^* \leq 1$. Then there is the segment $[\alpha_n, \beta_n]$,

$$0 < \alpha_n < \beta_n \leq 1, \quad \beta_n - \alpha_n > \frac{4}{5n + 4}, \quad \alpha_n \beta_n = \frac{5n - 4}{5n + 4},$$

such that

$$P(z^*; x) \geq 5n/4 \quad \text{for} \quad \alpha_n \leq x \leq \beta_n.$$

Indeed, the intersection of the disk $\{z : P(z^*; z) \geq 5n/4\}$ with the real axis is the segment $[x_1, x_2] \subset (0, 1]$, where x_1 and $x_2 > x_1$ are the (real) roots of the equation

$$(5n + 4)x^2 - 2(5n)x \operatorname{Re} z^* + 5n - 4 = 0$$

with the discriminant

$$d \geq 4(5n)^2 \{1 - 12(5n)^{-2} + 36(5n)^{-4}\} - 4\{(5n)^2 - 16\} > 16.$$

It is clear that $x_1 x_2 = (5n - 4)/(5n + 4)$ and $x_2 - x_1 = \sqrt{d}/(5n + 4)$.

Now, using the identity (3) and putting $Z_n = \{z_1, \dots, z_n\}$, we get

$$\begin{aligned} I(Z_n) &:= \int_{-1}^1 \left| \sum_{k=1}^n \frac{1}{x - z_k} \right|^2 dx > \int_{-1}^1 \left(\sum_{k=1}^n P(z_k; x) - n \right)^2 \frac{dx}{4x^2} > \\ &> \int_{\alpha_n}^{\beta_n} (P(z^*; x) - n)^2 \frac{dx}{4x^2} > \frac{n^2}{4^3} \frac{\beta_n - \alpha_n}{\alpha_n \beta_n} > \frac{n^2}{4^3} \frac{4}{5n - 4} \geq \frac{1}{24} > \frac{1}{64}. \end{aligned}$$

CASE 2: $|\operatorname{Re} z_k| < c_n$ for any $k = 1, \dots, n$. We have

$$P(z_k; x) < 1/3 \quad \text{for } x \in \Delta_n := [-1, -\gamma_n] \cup [\gamma_n, 1], \quad k = 1, \dots, n,$$

$\gamma_n := 1 - (9/5)(5n)^{-2}$, since the product of the roots of every polynomial

$$q(x) = q(z_k; x) = 4x^2 - 2x \operatorname{Re} z_k - 2$$

is negative ($= -1/2$), while the values of q at the points ± 1 and $\pm \gamma_n$ are positive, so that $q(x) > 0$ for $x \in \Delta_n$. Thus

$$I(Z_n) > \int_{\Delta_n} \left(n - \sum_{k=1}^n P(z_k; x) \right)^2 \frac{dx}{4x^2} > 2 \int_{\gamma_n}^1 \frac{n^2}{9} dx = \frac{2}{125} > \frac{1}{64}$$

and the proof is complete. Note that $I(Z_n) > n/80$ in Case 1.

3. Refinements of (1). It is of interest to find an order of $\inf\{I(Z_n) : Z_n \subset C\}$. We find this order in two cases. Let C^+ (C_+) be the intersection of the unit circle with the upper (right) closed half-plane.

Proposition. *There is an absolute constant $0 < c \leq \pi/2$ such that*

$$I(Z_n) \geq cn^2 \tag{4}$$

for all n in \mathbb{N} and any Z_n in C^+ or C_+ . This bound is sharp in order n .

Proof. First let $Z_n \subset C^+$. By the theorem of Govorov and Lapenko [4, Theorem 2] with $r = 1$ and $\delta = (2/3)(35e)^{-1}$, we have

$$\left| \sum_{k=1}^n \frac{1}{x - z_k} \right| > n \cdot \frac{2}{3} \cdot \frac{1}{35e} \quad \text{for } x \in \Delta \subset [-1, 1], \quad \text{mes } \Delta > \frac{2}{3};$$

therefore $I(Z_n) > c'n^2$, $c' := (2/3)^3(35e)^{-2}$.

If $Z_n \subset C_+$, i.e., all $\operatorname{Re} z_k \geq 0$, then we have $q(z_k; x) \geq 0$ for any $x \in [-1, -1/\sqrt{2}]$ and every k (see Case 2, § 2), so that

$$I(Z_n) > \int_{-1}^{-1/\sqrt{2}} (n - n/3)^2 \frac{dx}{4x^2} = c'' n^2, \quad c'' := \frac{\sqrt{2} - 1}{9},$$

$c'' > c'$. Thus, (4) holds with $c = c'$.

Finally, the sharpness of (4) in order n as well as the estimate $c \leq \pi/2$ follow from the example of the C -polynomial $(z - i)^n$:

$$\int_{-1}^1 |n/(x - i)|^2 dx = 2n^2 \int_0^1 dx/(x^2 + 1) = \pi n^2/2. \quad \square$$

It seems that in the general case, $Z_n \subset C$, the following result is true.

CONJECTURE. *There is an absolute constant $0 < c \leq \ln 2$ such that*

$$I(Z_n) \geq cn \tag{5}$$

for all n in \mathbb{N} and any Z_n in C . This bound is sharp in order n .

To prove the sharpness of (5) we use the C -polynomial $z^n - i$:

$$\int_{-1}^1 \left| \frac{nx^{n-1}}{x^n - i} \right|^2 dx = 2n \int_0^1 \frac{t^{1-(1/n)}}{t^2 + 1} dt = n \ln 2 + \int_0^1 t^{-1-(1/n)} \ln(t^2 + 1) dt$$

(the last integral is less than 1, because $\ln(t^2 + 1) < t^2$ for $0 < t \leq 1$).

4. A lower bound for the L_2 -norm in the case of the unit disk.

It follows from (2) and the Schwarz inequality that

$$\left(\iint_{|z| < 1} \left| \sum_{k=1}^n \frac{1}{z - z_k} \right|^2 dx dy \right)^{1/2} \geq \frac{\sqrt{\pi}}{18} \quad (|z_1| = \dots = |z_n| = 1).$$

Using the techniques in the proof of (1), we can derive a more sharp bound. Indeed, the consideration of two cases (cf. §2):

- 1) there is a pole $z^* \in \{z_1, \dots, z_n\}$ such that $c_n \leq \operatorname{Re} z^* \leq 1$,
- 2) $-1 \leq \operatorname{Re} z_k < c_n$ for all $k = 1, \dots, n$

shows that for any $Z_n = \{z_1, \dots, z_n\} \subset C$

$$\int_0^1 \left| \sum_{k=1}^n \frac{1}{x - z_k} \right|^2 x dx > \frac{1}{2 \cdot 8^2}.$$

But all points $z_k e^{-i\varphi}$, $\varphi \in \mathbb{R}$, also belong to C , so that

$$I(Z_n; \varphi) := \int_0^1 \left| \sum_{k=1}^n \frac{1}{r e^{i\varphi} - z_k} \right|^2 r dr > \frac{1}{2 \cdot 8^2} \quad \text{for any } \varphi \in \mathbb{R}.$$

Finally, for any z_1, \dots, z_n on the unit circle, we have

$$\left(\iint_{|z|<1} \left| \sum_{k=1}^n \frac{1}{z - z_k} \right|^2 dx dy \right)^{1/2} = \left(\int_0^{2\pi} I(Z_n; \varphi) d\varphi \right)^{1/2} > \frac{\sqrt{\pi}}{8}.$$

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